



7 SECTOR / \$ #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rompala, et al. Examiner: _____
Serial No.: 09/839,467 Art Unit: 2123
Filing Date: April 20, 2001 Attorney Docket: 0550862-0015
Title: SYSTEM FOR AND METHOD OF AUTOMATING THE
EXECUTION OF A DATA STREAM TRANSFORMATION
PROJECT

Assistant Commissioner for Patents
Box Missing Parts
Washington, DC 20231

Sir:

TRANSMITTAL

Enclosed herewith are documents in reference to the above-captioned patent application:

1. Petition for Extension of Time Under 37 CFR §1.136 (1 page);
2. Copy of Notice to File Missing Parts (1 page);
3. Appointment of Attorney (1 page);
4. Executed Declaration of Lewis Rompala (3 pages);
5. Executed Declaration of David Wiegand and Neil Hickey (3 pages);
6. Establishing Right of Assignee to Take Action (2 pages);
7. Written Assertion of Small Entity Status – Small Business Concern (1 page);
8. Check No. 303070 for \$65 for missing parts; and
9. Return-receipt postcard.

Please charge any under payments associated with this filing, or apply any credits, to our Deposit Account No. 03-1721.

Respectfully submitted,


John A. Hamilton, III
Registration No. 48,946

CHOATE, HALL & STEWART
53 State Street
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Boston, MA 02109
617/248-5000

Dated: October 11, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231
on Oct-11-01





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/839,467	04/20/2001	Lew Rompala	0550862-0015

CONFIRMATION NO. 2467

FORMALITIES LETTER



OC000000006178495

Sam Pasternack
 Choate, Hall & Stewart
 53 State Street
 Exchange Place
 Boston, MA 02109

Date Mailed: 06/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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